MKS INSTRUMENTS INC
Form 4
September 06, 2006

| RM 4 |  | OMB APPRROVAL |
| :---: | :---: | :---: |
|  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | OMB Number: 3235-0287 |
| Check this box if no longer subject to |  | Expires: January 31, |
|  | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF |  |
| Section 16. | SECURITIES | burden hours per |
| Form 4 or |  | response... 0.5 |
| Form 5 obligations may continue. | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |  |
| See Instruction | 30(h) of the Investment Company Act of 1940 |  |
| 1(b). |  |  |

(Print or Type Responses)


| (City) | (State) | (Zip) Ta | able I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed <br> Execution Date, if any <br> (Month/Day/Year) | 3. $\quad$ 4. Securities Acquired (A)Transactioor Disposed of (D)Code (Instr. 3, 4 and 5)(Instr. 8) |  |  |  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) <br> (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common <br> Stock | 09/01/2006 |  | S | 39,373 | D | $\begin{aligned} & \$ \\ & 20.9087 \\ & (1)(2) \end{aligned}$ | 3,910,047 | I | Through a subsidiary |
| Common <br> Stock | 09/05/2006 |  | S | 30,000 |  | $\begin{aligned} & \$ \\ & 20.9046 \\ & \underline{(3)} \end{aligned}$ | 3,880,047 | I | Through a subsidiary |
| Common Stock |  |  |  |  |  |  | 1,065,182 | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)


|  |  | Expiration <br> Date | Title | Amount |
| :---: | :---: | :---: | :---: | :---: |
|  | Date |  |  | or Number |
|  | Exercisable |  |  | of |
| Code V (A) (D) |  |  |  | Shares |

## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other
EMERSON ELECTRIC CO
8000 W. FLORISSANT AVE.
X
ST LOUIS, MO 63136

## Signatures

/s/ Timothy G. Westman, Assistant Secretary
${ }_{-}^{* *}$ Signature of Reporting Person 09/06/2006

Date

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
The sales were effected in multiple transactions, at varying prices, on September 1, 2006, as follows and as described in Footnote 2
(1) below: 400 shares at $\$ 20.49 ; 200$ at $\$ 20.50 ; 100$ at $\$ 20.51 ; 300$ at $\$ 20.53 ; 100$ at $\$ 20.54 ; 400$ at $\$ 20.55 ; 300$ at $\$ 20.56 ; 100$ at $\$ 20.59 ; 300$ at $\$ 20.60 ; 300$ at $\$ 20.61 ; 200$ at $\$ 20.63 ; 400$ at $\$ 20.64 ; 100$ at $\$ 20.65 ; 100$ at $\$ 20.66 ; 100$ at $\$ 20.67 ; 300$ at $\$ 20.68 ; 200$ at $\$ 20.70 ; 100$ at $\$ 20.71 ; 100$ at $\$ 20.72 ; 600$ at $\$ 20.74 ; 200$ at $\$ 20.75 ; 300$ at $\$ 20.76 ; 400$ at $\$ 20.77 ; 100$ at $\$ 20.78 ; 400$ at $\$ 20.79$; and 100 at $\$ 20.80$.

This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 300 shares at $\$ 20.83 ; 300$ at $\$ 20.84 ; 200$ at $\$ 20.85 ; 900$ at $\$ 20.86 ; 200$ at $\$ 20.87 ; 300$ at $\$ 20.88 ; 868$ at $\$ 20.89 ; 3,100$ at $\$ 20.90 ; 1,500$ at $\$ 20.91 ; 1,211$ at $\$ 20.92$;
(2) 3,000 at $\$ 20.93 ; 900$ at $\$ 20.94 ; 3,967$ at $\$ 20.95 ; 2,600$ at $\$ 20.96 ; 2,433$ at $\$ 20.97 ; 1,082$ at $\$ 20.98 ; 1,300$ at $\$ 20.99 ; 2,500$ at $\$ 21.00 ; 712$ at $\$ 21.01 ; 1,100$ at $\$ 21.02 ; 3,000$ at $\$ 21.03 ; 1,400$ at $\$ 21.04 ; 100$ at $\$ 21.05$; and 200 at $\$ 21.06$. The weighted average sales price for these transactions was $\$ 20.9087$ per share.
(3) The sales were effected in multiple transactions, at varying prices, on September 5, 2006, as follows: 26 shares at $\$ 20.75 ; 274$ at $\$ 20.76$; 600 at $\$ 20.77 ; 1,200$ at $\$ 20.78 ; 100$ at $\$ 20.79 ; 1,099$ at $\$ 20.80 ; 2,601$ at $\$ 20.81 ; 3,100$ at $\$ 20.82 ; 400$ at $\$ 20.83 ; 1,200$ at $\$ 20.84 ; 1,299$ at $\$ 20.85 ; 1,126$ at $\$ 20.86 ; 1,100$ at $\$ 20.87 ; 300$ at $\$ 20.88 ; 575$ at $\$ 20.89 ; 200$ at $\$ 20.90 ; 100$ at $\$ 20.91 ; 261$ at $\$ 20.92 ; 700$ at $\$ 20.93 ; 752$ at $\$ 20.94 ; 200$ at $\$ 20.95 ; 1,545$ at $\$ 20.96 ; 3,504$ at $\$ 20.97 ; 1,100$ at $\$ 20.98 ; 1,962$ at $\$ 20.99 ; 1,690$ at $\$ 21.00 ; 266$ at $\$ 21.01 ; 400$ at $\$ 21.02$;

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352 at $\$ 21.03 ; 800$ at $\$ 21.04 ; 300$ at $\$ 21.05 ; 500$ at $\$ 21.06$; and 368 at $\$ 21.07$. The weighted average sales price for these transactions was $\$ 20.9046$ per share.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

