## **BARNES & NOBLE INC**

Form 3 July 18, 2013

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Malhotra Kanuj

(Last) (First)

(Middle)

Statement

(Month/Day/Year)

07/08/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BARNES & NOBLE INC [BKS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

CFO, NOOK Media

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BARNES & NOBLE. INC., 122 FIFTH AVENUE

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

NEW YORK, NYÂ 10011

1. Title of Security

(Instr. 4)

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

Common Stock

26,575 (1)

(Instr. 5) D

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Title

Derivative Security

Direct (D)

#### Edgar Filing: BARNES & NOBLE INC - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Malhotra Kanuj

C/O BARNES & NOBLE, INC. 122 FIFTH AVENUE NEW YORK, NYÂ 10011

Â Â CFO, NOOK Media Â

**Signatures** 

/s/ Brad Feuer, as Attorney-in-Fact for Kanuj
Malhotra
07/18/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Consists of: (a) grant of 10,000 restricted stock units (payable solely in common stock of the Issuer on each vesting date) on July 2, 2012, vesting in four equal annual installments on July 2 of each of the years 2013 through 2016, less 925 shares withheld by the Issuer to cover

(1) applicable withholding taxes for vested shares; and (b) grant of 17,500 restricted stock units (payable solely in common stock of the Issuer on each vesting date) on March 5, 2013, with 25% vesting on March 5, 2015, 25% vesting on March 5, 2016 and the remaining 50% vesting on March 5, 2017.

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#### **Remarks:**

## EXHIBIT LIST - Exhibit 24 - Power of Attorney for Kanuj Malhotra

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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