## Edgar Filing: Houghton Mifflin Harcourt Co - Form 4

Houghton Mifflin Harcourt Co Form 4 October 29, 2015 <b>FORM 4</b> Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction							OMB Number: Expires: Estimated a burden hou response	•		
1(b).										
(Print or Type	Responses)									
			2. Issuer Name <b>and</b> Ticker or Trading Symbol Houghton Mifflin Harcourt Co [HMHC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O HOUGHTON MIFFLIN HARCOURT CO.,, 222 BERKELEY STREET			3. Date of Earliest Transaction (Month/Day/Year) 10/27/2015				Director 10% Owner X Officer (give title Other (specify below) below) See remarks.			
			. If Amendmer Filed(Month/Day	-	inal		<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
							Person		1 0	
(City)	(State)	(Zip)	Table I - N	on-Derivati	ve Secu	irities Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if Trans Code (Year) (Instr.	actionor Disp (Instr.	oosed of 3, 4 and (A) or	f (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/27/2015		М	4,167	А	\$ 13.48	41,388	D		
Common Stock	10/27/2015		S <u>(1)</u>	4,167	D	\$ 19.2781	37,221	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 13.48	10/27/2015		М	4,167	<u>(3)</u>	07/25/2020	Common Stock	4,167

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Colangelo Brook Mickey C/O HOUGHTON MIFFLIN HARCOURT 222 BERKELEY STREET BOSTON, MA 02116	СО.,		See remarks.					
Signatures								
/s/ William F. Bayers, Attorney-in-Fact	10/29/2015							
**Signature of Reporting Person	Date							
Explanation of Responses:								

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.

The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions ranged from \$19.05 to \$19.76. Upon request of the staff of the Securities and Exchange Commission, Houghton Mifflin Harcourt

(2) Tanged non \$15.55 to \$15.76. Open request of the start of the Securities and Exchange Commission, Houghton Minimi Parcourt
 Company (the "Company") or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold at each separate price.

(3) These employee stock options have vested and are currently exercisable.

### **Remarks:**

Executive Vice President and Chief Information Officer. William F. Bayers is the Executive Vice President, Secretary and Gen

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.