CAPSTONE TURBINE CORP Form 8-K November 08, 2005

Table of Contents

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 8-K CURRENT REPORT

Pursuant to Section 13 or 15 (d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) November 8, 2005 (November 7, 2005)

CAPSTONE TURBINE CORPORATION

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-15957

95-4180883

(Commission file number)

(I.R.S. Employer Identification No.)

21211 Nordhoff Street, Chatsworth, California 91311 (Address of principal executive offices) (818) 734-5300

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Precommencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Precommencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

TABLE OF CONTENTS

<u>Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers.</u>

Item 9.01 Financial Statements and Exhibits

SIGNATURE

EXHIBIT INDEX

EX-99.1 PRESS RELEASE

Table of Contents

Section 5 Corporate Governance and Management

Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers.

Effective November 7, 2005, Leigh L. Estus, 56, has been appointed Vice President of Operations of Capstone Turbine Corporation.

Mr. Estus most recently served as Director of Operations of EDO Corporation, a communications and countermeasure systems company (EDO), from August 2004 until October 2005. Prior to his employment with EDO, Mr. Estus spent more than 16 years with BAE Systems, a developer and manufacturer of advanced defense and aerospace systems, where he held the positions of Vice President of Operations and Director of Support Solutions. Prior to BAE Systems, Mr. Estus was employed by Whittaker Electronics Systems and Hughes Aircraft Company.

The full text of the press release issued in connection with the appointment of Mr. Estus is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

Section 9 Financial Statements and Exhibits

Item 9.01 Financial Statements and Exhibits

Exhibits

99.1 Press Release issued by Capstone Turbine Corporation on November 7, 2005 announcing the appointment of Leigh L. Estus as Vice President of Operations.

Table of Contents

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAPSTONE TURBINE CORPORATION

(Registrant)

Date: November 7, 2005 By: /s/ Walter J. McBride

Chief Financial Officer

Table of Contents

EXHIBIT INDEX

Exhibit Number Description

99.1 Press Release issued by Capstone Turbine Corporation on November 7, 2005.