

DOUGLAS DYNAMICS, INC  
 Form EFFECT  
 May 16, 2011

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**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 KING DAVID L

2. Issuer Name and Ticker or Trading Symbol  
 TRI-CONTINENTAL CORP [TY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 225 FRANKLIN STREET

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 03/22/2017

\_\_\_\_ Director  
 \_\_\_\_ Officer (give title below)  10% Owner  
 \_\_\_\_ Other (specify below)  
 Portfolio Manager of Issuer

(Street)  
 BOSTON, MA 02110

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Tri-Continental Corporation Common Stock	03/22/2017		P		100	A	\$ 22.8 130,100
Tri-Continental Corporation Common Stock	03/22/2017		P		100	A	\$ 22.79 130,200
Tri-Continental Corporation Common Stock	03/22/2017		P		400	A	\$ 22.82 130,600

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Tri-Continental Corporation Common Stock	03/22/2017	P	500	A	\$ 22.83	131,100	D
Tri-Continental Corporation Common Stock	03/22/2017	P	200	A	\$ 22.845	131,300	D
Tri-Continental Corporation Common Stock	03/22/2017	P	100	A	\$ 22.865	131,400	D
Tri-Continental Corporation Common Stock	03/22/2017	P	606	A	\$ 22.86	132,006	D
Tri-Continental Corporation Common Stock	03/22/2017	P	1,398	A	\$ 22.85	133,404	D
Tri-Continental Corporation Common Stock	03/22/2017	P	1,733	A	\$ 22.84	135,137	D
Tri-Continental Corporation Common Stock	03/22/2017	P	882	A	\$ 22.87	136,019	D
Tri-Continental Corporation Common Stock	03/22/2017	P	1,672	A	\$ 22.88	137,691	D
Tri-Continental Corporation Common Stock	03/22/2017	P	1,175	A	\$ 22.9	138,866	D
Tri-Continental Corporation Common Stock	03/22/2017	P	1,134	A	\$ 22.89	140,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo
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Disposed  
of (D)  
(Instr. 3,  
4, and 5)

Trans  
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director   10% Owner   Officer   Other

KING DAVID L  
225 FRANKLIN STREET  
BOSTON, MA 02110

Portfolio Manager of Issuer

## Signatures

Joseph D'Alessandro, Power of  
Attorney

03/23/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.